

Gate three query process

Strategic solution(s)	HWTWR
Query number	HAM011
Date sent to company	10/09/2024
Response due by	19/09/2024

Query

- In section 6.1, an assumptions list is required, could we be directed to where this is found in the document?
- In section 6.2, can you please confirm where mitigation measures, which should, where appropriate, have been agreed with relevant regulators and costed in are presented?

Solution owner response

Section 6.1 Query Response:

As described in Annex 6, Section 6.1.1 a Monte-Carlo based Quantitative Schedule Risk Analysis (QSRA) was undertaken to inform the programme schedule, which in turn drives the key solution-specific milestones as requested in the guidance. To complete a QSRA an understanding of assumptions, risks and dependencies is a fundamental input requirement.

Specifically addressing assumptions, Government Functional Standard, GovS 002: Project delivery (portfolio, programme and project management) V2.0, Section 7.6 ‘Risk and issue management’ states that “*Risks might be related to....an unknown variable for which assumptions need to be made...*”. As such, by definition, assumptions are themselves risks and have been, as described, included within the QSRA.

Key scheme risks are presented in Table 6-2 within the main document, where the description of many risks contain reference to assumptions (aligning to the

Government Functional Standard GovS 002) such as “assumed timescales” of regulatory approvals, levels contaminated land that are “assumed”, as well as assumptions relating to compensatory habitats, assumptions concerning consenting approval, and an assumption that the DPC Competitively Approved Provider (CAP) will agree to commencing detailed design activities ahead of contract signature.

Section 6.2 Query Response:

As per the response to the above query on Section 6.1, Table 6-2 provides both risk description and mitigation actions for the key scheme risks.

The key risks presented in this table, identify ‘where appropriate’ stakeholders including relevant regulators. By way of example, the mitigation action for risk ID Land – 089 references “engagement with regulators” to gain support for the development of HRA plans, and hence reduce and remove risks relating to assumptions made relating to compensatory habitats.

The risks and mitigations presented in the Gate three submission Table 6-2 as referred to above are also provided in the June 2024 RAPID quarterly dashboard, risk section.

The cost of risk mitigation is included within our overall totex costs and OB calculations. It is considered impracticable and not representative to allocate individual costs effectively to an individual mitigation due to potential duplication and interaction with non mitigation activities.

Date of response to RAPID	19/9/24
Strategic solution contact / responsible person	REDACTED